

Blocking Malware and Prohibited Files

Malicious software, or *malware*, can enter your organization's network via multiple routes. To help you identify and mitigate the effects of malware, the ASA FirePOWER module's file control and advanced malware protection components can detect, track, store, analyze, and optionally block the transmission of malware and other types of files in network traffic.

You configure the system to perform malware protection and file control as part of your overall access control configuration. *File policies* that you create and associate with access control rules handle network traffic that matches the rules.

Although you can create file policies with any license, certain aspects of malware protection and file control require that you enable specific licensed capabilities on the ASA FirePOWER module, as described in the following table.

Table 35-1 License and Appliance Requirements for Intrusion and File Inspection

Feature	Description	Add this license...
intrusion prevention	detect and optionally block intrusions and exploits	Protection
file control	detect and optionally block the transmission of file types	Protection
advanced malware protection (AMP)	detect, track, and optionally block the transmission of malware	Malware

For more information, see:

- Understanding Malware Protection and File Control, page 35-1
- Understanding and Creating File Policies, page 35-4

Understanding Malware Protection and File Control

License: Protection, Malware, or Any

Using the *advanced malware protection* feature, you can configure the ASA FirePOWER module to detect, track, analyze, and optionally block malware files being transmitted on your network.

The system can detect and optionally block malware in many types of files, including PDFs, Microsoft Office documents, and others. ASA FirePOWER modules monitor specific application protocol-based network traffic for transmissions of those file types. When the ASA FirePOWER module detects an

eligible file, the ASA FirePOWER module then performs a *malware cloud lookup* using the file's SHA-256 hash value. Based on these results, the Cisco cloud returns a file disposition to the ASA FirePOWER module.

If a file has a disposition in the cloud that you know to be incorrect, you can add the file's SHA-256 value to a file list:

- To treat a file as if the cloud assigned a clean disposition, add the file to the *clean list*.
- To treat a file as if the cloud assigned a malware disposition, add the file to the *custom detection list.*

If the system detects a file's SHA-256 value on a file list, it takes the appropriate action without performing a malware lookup or checking the file disposition. Note that you must configure a rule in the file policy with either a **Malware Cloud Lookup** or **Block Malware** action and a matching file type to calculate a file's SHA value. You can enable use of the clean list or custom detection list on a per-file-policy basis.

To inspect or block files, you must enable a Protection license on the ASA FirePOWER module. To add files to a file list, you must also enable a Malware license.

Understanding File Dispositions

The system determines file dispositions based on the disposition returned by the Cisco cloud. A file can have one of the following file dispositions returned by the Cisco cloud, as a result of addition to a file list, or due to threat score:

- `Malware` indicates that the cloud categorized the file as malware.
- `Clean` indicates that the cloud categorized the file as clean, or that a user added the file to the clean list.
- `Unknown` indicates that a malware cloud lookup occurred before the cloud assigned a disposition. The cloud has not categorized the file.
- `Custom Detection` indicates that a user added the file to the custom detection list.
- `Unavailable` indicates that the ASA FirePOWER module could not perform a malware cloud lookup. You may see a small percentage of events with this disposition; this is expected behavior.

Tip If you see several `Unavailable` malware events in quick succession, check your cloud connection and port configuration. For more information, see Security, Internet Access, and Communication Ports, page D-1.

Based on the file disposition, the ASA FirePOWER module either blocks the file or blocks its upload or download. To improve performance, if the system already knows the disposition for a file based on its SHA-256 value, your appliance uses the cached disposition rather than querying the Cisco cloud.

Note that file dispositions can change. For example, the cloud can determine that a file that was previously thought to be clean is now identified as malware, or the reverse—that a malware-identified file is actually clean. When the disposition changes for a file for which you performed a malware lookup in the last week, the cloud notifies the ASA FirePOWER module so the system can take appropriate action the next time it detects that file being transmitted. A changed file disposition is called a *retrospective* disposition.

File dispositions returned from a malware cloud lookup have a time-to-live (TTL) value. After a file disposition has been held for the duration specified in the TTL value without update, the system purges the cached information. Dispositions have the following TTL values:

- Clean—4 hours
- Unknown—1 hour

- Malware—1 hour

If a malware cloud lookup against the cache identifies a cached disposition that timed out, the system performs a fresh lookup to determine a file disposition.

Understanding File Control

If your organization wants to block not only the transmission of malware files, but all files of a specific type (regardless of whether the files contain malware), the *file control* feature allows you to cast a wider net. As with malware protection, the ASA FirePOWER module monitors network traffic for transmissions of specific file types, then either blocks or allows the file.

File control is supported for all file types where the system can detect malware, plus many additional file types. These file types are grouped into basic categories, including multimedia (swf, mp3), executables (exe, torrent), and PDFs. Note that file control, unlike malware protection, does not require queries of the Cisco cloud.

Configuring Malware Protection and File Control

License: Protection or Malware

You configure malware protection and file control as part of your overall access control configuration by associating file policies with access control rules. This association ensures that before the system passes a file in traffic that matches an access control rule's conditions, it first inspects the file.

A file policy, like its parent access control policy, contains rules that determine how the system handles files that match the conditions of each rule. You can configure separate file rules to take different actions for different file types, application protocols, or directions of transfer.

When a file matches a rule, the rule can:

- allow or block files based on simple file type matching
- block files based on malware file disposition

In addition, the file policy can automatically treat a file as if it is clean or malware based on entries in the clean list or custom detection list

As a simple example, you could implement a file policy that blocks your users from downloading executable files. For detailed information on file policies and associating them with access control rules, see Understanding and Creating File Policies, page 35-4.

Logging Events Based on Malware Protection and File Control

License: Protection or Malware

The ASA FirePOWER module logs records of the system's file inspection and handling file events, and malware events:

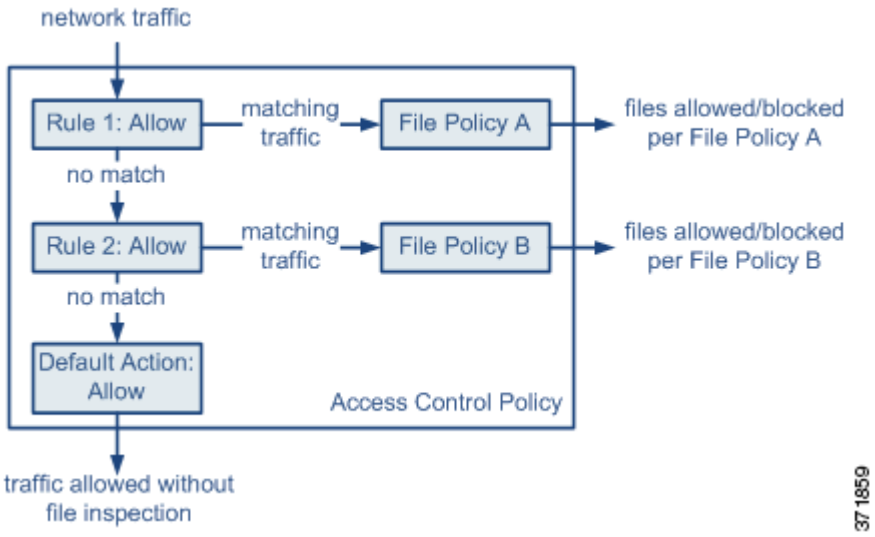
- *File events* represent files that the system detected, and optionally blocked, in network traffic.
- *Malware events* represent malware files detected, and optionally blocked, in network traffic by the system.
- *Retrospective malware events* represent files whose malware file dispositions have changed.

When the system generates a malware event based on detection or blocking of malware in network traffic, it also generates a file event, because to detect malware in a file the system must first detect the file itself.

Understanding and Creating File Policies

License: Protection or Malware

A file policy is a set of configurations that the system uses to perform advanced malware protection and file control, as part of your overall access control configuration.



The policy has two access control rules, both of which use the Allow action and are associated with file policies. The policy's default action is also to allow traffic, but without file policy inspection. In this scenario, traffic is handled as follows:

- Traffic that matches `Rule 1` is inspected by `File Policy A`.
- Traffic that does not match `Rule 1` is evaluated against `Rule 2`. Traffic that matches `Rule 2` is inspected by `File Policy B`.
- Traffic that does not match either rule is allowed; you cannot associate a file policy with the default action.

A file policy, like its parent access control policy, contains rules that determine how the system handles files that match the conditions of each rule. You can configure separate file rules to take different actions for different file types, application protocols, or directions of transfer.

Once a file matches a rule, the rule can:

- allow or block files based on simple file type matching
- block files based on Malware file disposition

In addition, the file policy can automatically treat a file as if it is clean or malware based on entries in the clean list or custom detection list

You can associate a single file policy with an access control rule whose action is **Allow**, **Interactive Block**, or **Interactive Block with reset**. The system then uses that file policy to inspect network traffic that meets the conditions of the access control rule. By associating different file policies with different access control rules, you have granular control over how you identify and block files transmitted on your network. Note, however, that you **cannot** use a file policy to inspect traffic handled by the access control default action. For detailed information, see Inspecting Allowed Traffic For Intrusions and Malware, page 11-2.

File Rules

You populate a file policy with file rules. The following table describes the components of a file rule.

Table 35-2 File Rule Components

File Rule Component	Description
application protocol	The system can detect and inspect files transmitted via FTP, HTTP, SMTP, IMAP, POP3, and NetBIOS-ssn (SMB). To improve performance, you can restrict file detection to only one of those application protocols on a per-file rule basis.
direction of transfer	You can inspect incoming FTP, HTTP, IMAP, POP3, and NetBIOS-ssn (SMB) traffic for downloaded files; you can inspect outgoing FTP, HTTP, SMTP, and NetBIOS-ssn (SMB) traffic for uploaded files.
file categories and types	The system can detect various types of files. These file types are grouped into basic categories, including multimedia (swf, mp3), executables (exe, torrent), and PDFs. You can configure file rules that detect individual file types, or on entire categories of file types. For example, you could block all multimedia files, or just ShockWave Flash (swf) files. Or, you could configure the system to alert you when a user downloads a BitTorrent (torrent) file. **Caution** Frequently triggered file rules can affect system performance. For example, detecting multimedia files in HTTP traffic (YouTube, for example, transmits significant Flash content) could generate an overwhelming number of events.
file rule action	A file rule's action determines how the system handles traffic that matches the conditions of the rule. **Note** File rules are evaluated in rule-action, not numerical, order. For more information, see the next section, File Rule Actions and Evaluation Order.

File Rule Actions and Evaluation Order

Each file rule has an associated action that determines how the system handles traffic that matches the conditions of the rule. You can set separate rules within a file policy to take different actions for different file types, application protocols, or directions of transfer. The rule actions are as follows, in rule-action order:

- *Block Files* rules allow you to block specific file types.
- *Block Malware* rules allow you to calculate the SHA-256 hash value of specific file types, then use a cloud lookup process to first determine if files traversing your network contain malware, then block files that represent threats.
- *Malware Cloud Lookup* rules allow you to log the malware disposition of files traversing your network based on a cloud lookup, while still allowing their transmission.
- *Detect Files* rules allow you to log the detection of specific file types while still allowing their transmission.

For each file rule action, you can configure options to reset the connection when a file transfer is blocked. The following table details the options available to each file action.

Table 35-3 File Rule Actions

Action	Resets Connection?
Block Files	yes (recommended)
Block Malware	yes (recommended)
Detect Files	no
Malware Cloud Lookup	no

File and Malware Detection, Capture, and Blocking Notes and Limitations

Note the following details and limitations on file and malware detection, capture, and blocking behavior:

- Until a file is detected and block in a session, packets from the session may be subject to intrusion inspection.
- If an end-of-file marker is not detected for a file, regardless of transfer protocol, the file is not blocked by a **Block Malware** rule or by the custom detection list. The system waits to block the file until the entire file has been received, as indicated by the end-of-file marker, and blocks the file after the marker is detected.
- If the end-of-file marker for an FTP file transfer is transmitted separately from the final data segment, the marker is blocked and the FTP client indicates that the file transfer failed, but the file actually completely transfers to disk.
- FTP transfers commands and data over different channels. In a passive deployment, the traffic from an FTP data session and its control session may not be load-balanced to the same Snort.
- If a file matches a rule with an application protocol condition, file event generation occurs after the system successfully identifies a file's application protocol. Unidentified files do not generate file events.
- For an access control policy using a file policy with **Block Malware** rules for FTP, if you set the default action to an intrusion policy with **Drop when Inline** disabled, the system generates events for detected files or malware matching the rules, but does not drop the files. To block FTP fire transfers and use an intrusion policy as the default action for the access control policy where you select the file policy, you must select an intrusion policy with **Drop when Inline** enabled.
- File rules with **Block Files** and **Block Malware** actions block automatic resumption of file download via HTTP by blocking new sessions with the same file, URL, server, and client application detected for 24 hours after the initial file transfer attempt occurs.
- In rare cases, if traffic from an HTTP upload session is out of order, the system cannot reassemble the traffic correctly and therefore does not block it or generate a file event.
- If you transfer a file over NetBIOS-ssn (such as an SMB file transfer) that is blocked with a **Block Files** rule, you may see a file on the destination host. However, the file is unusable because it is blocked after the download starts, resulting in an incomplete file transfer.
- If you create file rules to detect or block files transferred over NetBIOS-ssn (such as an SMB file transfer), the system does not inspect files transferred in an established TCP or SMB session started before you apply an access control policy invoking the file policy, so those files will not be detected or blocked.
- A rule configured to block files in a passive deployment does not block matching files. Because the connection continues to transmit the file, if you configure the rule to log the beginning of the connection, you may see multiple events logged for this connection.

- If the total number of bytes for all file names for files in a POP3, POP, SMTP, or IMAP session exceeds 1024, file events from the session may not reflect the correct file names for files that were detected after the file name buffer filled.
- When transmitting text-based files over SMTP, some mail clients convert newlines to the CRLF newline character standard. Since Mac-based hosts use the carriage return (CR) character and Unix/Linux-based hosts use the line feed (LF) character, newline conversion by the mail client may modify the size of the file. Note that some mail clients default to newline conversion when processing an unrecognizable file type.
- Cisco recommends that you enable **Reset Connection** for the **Block Files** and **Block Malware** actions to prevent blocked application sessions from remaining open until the TCP connection resets. If you do not reset connections, the client session remains open until the TCP connection resets itself.
- If a file rule is configured with a **Malware Cloud Lookup** or **Block Malware** action and the ASA FirePOWER module cannot establish connectivity with the cloud, the system cannot perform any configured rule action options until cloud connectivity is restored.

File Rule Evaluation Example

Unlike in access control policies, where rules are evaluated in numerical order, file policies handle files in File Rule Actions and Evaluation Order, page 35-5. That is, simple blocking takes precedence over malware inspection and blocking, which takes precedence over simple detection and logging. As an example, consider four rules that handle PDF files in a single file policy. Regardless of the order in which they appear in the module interface, these rules are evaluated in the following order:

Table 35-4 File Rule Evaluation Order Example

App. Protocol	Direction	Action	Action Options	Result
SMTP	Upload	Block Files	Reset Connection	Blocks users from emailing PDF files and resets the connection.
FTP	Download	Block Malware	Reset Connection	Blocks the download of malware PDF files via file transfer, and resets the connection.
POP3 IMAP	Download	Malware Cloud Lookup	none	Inspects PDF files received via email for malware.
Any	Any	Detect Files	none	Detects and logs, but allows the traffic, when users view PDF files on the web (that is, via HTTP).

The ASA FirePOWER module uses warning icons ( ) to designate conflicting file rules.

Note that you cannot perform malware analysis on all file types detected by the system. After you select values from the **Application Protocol**, **Direction of Transfer**, and **Action** drop-down lists, the system constrains the list of file types.

Logging File Events, Malware Events and Alerts

When you associate a file policy with an access control rule, the system automatically enables file and malware event logging for matching traffic. When the system inspects a file, it can generate the following types of events:

- *file events*, which represent detected or blocked files, as well as detected malware files
- *malware events*, which represent detected malware files

- *retrospective malware events*, which are generated when the Malware file disposition for a previously detected file changes

When a file policy generates a file or malware event, or captures a file, the system automatically logs the end of the associated connection, regardless of the logging configuration of the invoking access control rule.

Note File events generated by inspecting NetBIOS-ssn (SMB) traffic do not immediately generate connection events because the client and server establish a persistent connection. The system generates connection events after the client or server ends the session.

For each of these connection events:

- The **Files** field contains an icon ( ) that indicates the number of files (including malware files) detected in the connection; click the icon to see a list of those files and, for malware files, their file dispositions.
- The **Reason** field indicates the reason the connection event was logged, which depends on the file rule action:
  - `File Monitor` for Detect Files and Malware Cloud Lookup file rules and for files on the clean list
  - `File Block` for Block Files or Block Malware file rules
  - `File Custom Detection` if the system encountered a file on the custom detection list
  - `File Resume Allow` where file transmission was originally blocked by a Block Files or Block Malware file rule. After a new access control policy was applied that allowed the file, the HTTP session automatically resumed.
  - `File Resume Block` where file transmission was originally allowed by a Detect Files or Malware Cloud Lookup file rule. After a new access control policy was applied that blocked the file, the HTTP session automatically stopped.
- For connections where a file or malware was blocked, the **Action** is `Block`.

As with any kind of event generated by the ASA FirePOWER module, you can view file and malware events. You can also use malware events to alert you via SNMP or syslog.

Internet Access

The system uses port 443 to perform malware cloud lookups for network-based AMP. You must open that port outbound on the ASA FirePOWER module.

Managing File Policies

You create, edit, delete, and compare file policies on the File Policies page (**Policies > Files**), which displays a list of existing file policies along with their last-modified dates.

Clicking the apply icon ( ) for a file policy displays a dialog box that tells you which access control policies use the file policy, then redirects you to the Access Control Policy page. This is because you cannot apply a file policy independently, as a file policy is considered part of its parent access control policies. To use a new file policy, or to apply changes made to an existing file policy, you must apply or reapply the parent access control policies.

Note that you cannot delete a file policy used in a saved or applied access control policy.

For more information on managing file policies, see the following sections:

- Creating a File Policy, page 35-9

- Working with File Rules, page 35-9
- Comparing Two File Policies, page 35-12

Creating a File Policy

License: Protection or Malware

After you create a file policy and populate it with rules, you can use it in an access control policy.

Tip To make a copy of an existing file policy, click the copy icon ( ), then type a unique name for the new policy in the dialog box that appears. You can then modify the copy.

To create a file policy:

Step 1 Select **Configuration > ASA FirePOWER Configuration > Policies > Files**.

The File Policies page appears.

Step 2 Click **New File Policy**.

The New File Policy dialog box appears.

For a new policy, the module interface indicates that the policy is not in use. If you are editing an in-use file policy, the module interface tells you how many access control policies use the file policy. In either case, you can click the text to jump to the Access Control Policies page; see Getting Started with Access Control Policies, page 4-1.

Step 3 Enter a **Name** and optional **Description** for your new policy, then click **Save**.

The File Policy Rules tab appears.

Step 4 Add one or more rules to the file policy.

File rules give you granular control over which file types you want to log, block, or scan for malware. For information on adding file rules, see Working with File Rules, page 35-9.

Step 5 Configure the advanced options. See Configuring Advanced File Policy General Options, page 35-11 for more information.

Step 6 Click **Store ASA FirePOWER Changes**.

To use your new policy, you must add the file policy to an access control rule, then apply the access control policy. If you are editing an existing file policy, you must reapply any access control policies that use the file policy.

Working with File Rules

License: Protection or Malware

To be effective, a file policy must contain one or more rules. You create, edit, and delete rules on the File Policy Rules page, which appears when you create a new file policy or edit an existing policy. The page lists all the rules in the policy, along with each rule's basic characteristics.

The page also notifies you of how many access control policies use this file policy. You can click the notification to display a list of the parent policies and, optionally, continue to the Access Control Policies page.

To create a file rule:

Step 1 Select **Configuration > ASA FirePOWER Configuration > Policies > Files**.

The File Policies page appears.

Step 2 You have the following options:

- To add rules to a new policy, click **New File Policy** to create a new policy; see Creating a File Policy, page 35-9.
- To add rules to an existing policy, click the edit icon ( ) next to the policy.

Step 3 On the File Policy Rules page that appears, click **Add File Rule**.

The Add File Rule dialog box appears.

Step 4 Select an **Application Protocol** from the drop-down list.

Any, the default, detects files in HTTP, SMTP, IMAP, POP3, FTP, and NetBIOS-ssn (SMB) traffic.

Step 5 Select a **Direction of Transfer** from the drop-down list.

You can inspect the following types of incoming traffic for downloaded files:

- HTTP
- IMAP
- POP3
- FTP
- NetBIOS-ssn (SMB)

You can inspect the following types of outgoing traffic for uploaded files:

- HTTP
- FTP
- SMTP
- NetBIOS-ssn (SMB)

Use **Any** to detect files over multiple application protocols, regardless of whether users are sending or receiving.

Step 6 Select a file rule **Action**. See the File Rule Actions table for more information.

When you select either **Block Files** or **Block Malware**, **Reset Connection** is enabled by default. To **not** reset the connection where a blocked file transfer occurs, clear the **Reset Connection** check box.

Note Cisco recommends that you leave **Reset Connection** enabled to prevent blocked application sessions from remaining open until the TCP connection resets.

For detailed information on file rule actions, see File Rule Actions and Evaluation Order, page 35-5.

Step 7 Select one or more **File Types**. Use the Shift and Ctrl keys to select multiple file types. You can filter the list of file types in the following ways:

- Select one or more **File Type Categories**.

- Search for a file type by its name or description. For example, type `Windows` in the **Search name and description** field to display a list of Microsoft Windows-specific files.

The file types that you can use in a file rule vary depending on your selections for **Application Protocol**, **Direction of Transfer**, and **Action**.

For example, selecting **Download** as the **Direction of Transfer** removes `GIF`, `PNG`, `JPEG`, `TIFF`, and `ICO` from the **Graphics** category to prevent an excess of file events.

Step 8 Add the selected file types to the **Selected Files Categories and Types** list:

- Click **Add** to add selected file types to the rule.
- Drag and drop one or more file types into the **Selected Files Categories and Types** list.
- With a category selected, click **All types in selected Categories**, then either click **Add** or drag and drop that selection to the **Selected Files Categories and Types** list.

Step 9 Click **Store ASA FirePOWER Changes**.

The file rule is added to the policy. If you are editing an existing file policy, you must reapply any access control policies that use the file policy for your changes to take effect.

Configuring Advanced File Policy General Options

License: Malware

In a file policy, you can set the following advanced options in the General section.

Table 35-5 Advanced File Policy General Options

Field	Description	Default Value
Enable Custom Detection List	Select this to block files on the custom detection list when detected.	enabled
Enable Clean List	Select this to allow files on the clean list when detected.	enabled

To configure advanced file policy general options:

Step 1 Select **Configuration > ASA FirePOWER Configuration > Policies > Files**.

The File Policies page appears.

Step 2 Click the edit icon ( ) next to the policy you want to edit.

The File Policy Rule page appears.

Step 3 Select the **Advanced** tab.

The Advanced tab appears.

Step 4 Modify the options as described in the Advanced File Policy General Options table.

Step 5 Click **Store ASA FirePOWER Changes**.

You must reapply any access control policies that use the file policy you edited.

Comparing Two File Policies

License: Protection

To review policy changes for compliance with your organization's standards or to optimize system performance, you can examine the differences between any two file policies, or two revisions of the same policy.

The file policy *comparison view* displays two file policies or revisions in a side-by-side format, with the time of last modification and the last user to modify displayed next to each policy name. Differences between the two policies are highlighted:

- Blue indicates that the highlighted setting is different in the two policies, and the difference is noted in red text.
- Green indicates that the highlighted setting appears in one policy but not the other.

You can navigate through the differences by clicking **Previous** and **Next**. The double-arrow icon ( ) centered between the left and right sides moves, and the **Difference** number adjusts to identify which difference you are viewing. Optionally, you can generate a file policy *comparison report*, which is a PDF version of the comparison view.

To compare two file policies:

Step 1 Select **Configuration > ASA FirePOWER Configuration > Policies > Files.**

The File Policies page appears.

Step 2 Click **Compare Policies**.

The Select Comparison dialog box appears.

Step 3 From the **Compare Against** drop-down list, select the type of comparison you want to make:

- To compare two different policies, select either **Running Configuration** or **Other Policy**. The practical difference between the two options is that if you select **Running Configuration**, the system limits one of your comparison choices to the set of currently applied file policies.
- To compare revisions of the same policy, select **Other Revision**.

The dialog box refreshes, displaying your comparison options.

Step 4 Depending on the comparison type you selected, you have the following choices:

- If you are comparing two different policies, select the policies you want to compare: **Policy A** or **Target/Running Configuration A**, and **Policy B**.
- If you are comparing revisions of the same policy, select the **Policy** you want to use, then select the two revisions: **Revision A** and **Revision B**. Revisions are listed by date and user name.

Step 5 Click **OK**.

The comparison view appears.

Step 6 Optionally, click **Comparison Report** to generate a file policy comparison report. You are prompted to save the report to your computer.